

SRL:SEC:SE:2021-22/58

January 10, 2022

The Manager  
Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G-Block  
Bandra-Kurla Complex  
Bandra (East),  
Mumbai – 400 051  
**(Symbol: SPENCERS)**

The General Manager  
Department of Corporate Service,  
BSE Limited  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai – 400 001  
**(Scrip Code: 542337)**

Dear Sir/Madam,

**Sub: Statement of Investor Complaints for the Quarter ended December 31, 2021**

Please find enclosed a statement on investor complaints as required to be provided under Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation 2015 for the quarter ended December 31, 2021.

Kindly acknowledge receipt of the same.

Thanking you.

**For Spencer's Retail Limited**



**Rama Kant**  
**Company Secretary & Compliance Officer**  
**(FCS 4818)**

**Spencer's Retail Limited**

Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata-700 001  
Corp. Office: RPSG House, 2/4 Judges Court Road, Kolkata-700 027  
Tel: +91 33 2487 1091 Web: www.spencersretail.com  
CIN: L74999WB2017PLC219355

**Spencer's Retail Limited**  
**Statement of Investor Complaints**

1. Name of Listed Entity : Spencer's Retail Limited  
2. Quarter ended : December 31, 2021

**Part A**

Sr. No.	Particulars	Number
1	Number of complaints pending at the beginning of the quarter	2
2	Number of complaints received directly including scores	0
3	Number of complaints forwarded by Investors	3
4	Number of complaints received directly from BSE/NSE/SEBI	2
5	Number of complaints received directly from Depository Participant	0
6	Total Number of complaints/comments received (1+2+3+4+5)	7
7	Number of complaints redressed/resolved	5
8	Number of complaints pending	2*

\* 2 pending cases were resolved on 06-01-2022.

**Part B**

Sr.No.	Name of Complainant	Date of Complaint	Status (Resolved/pending)
1	Harshad K Shah	24-09-2021	Resolved
2	Palimar Ratnakar Prabhu	28-09-2021	Resolved
3	Rajesh Prabhakar Vichare	11-11-2021	Resolved
4	Amarendra Datta	01-12-2021	Resolved
5	Tushar B Shah	13-12-2021	Pending*
6	Srikant Chauhan	15-12-2021	Resolved
7	Krishan Gopal Dhanuka	27-12-2021	Pending*

\* 2 pending cases were resolved on 06-01-2022.



January 10, 2022

**Company Secretary**

## SPENCERS RETAIL LIMITED

Particulars	Numbers
1. No. of complaints pending during last quarter i.e. September 30, 2021	2
2. No. of complaints received directly from Investors	3
3. No. of complaints received directly from BSE/NSE/SEBI	2
4. No of Complaint received directly from Depository Participant	0
Total number of Complaints / Comments received	7
Number of Complaints redressed / resolved	5
Number of Complaint pending	2*



Investor Grievance for the quarter ended 31.12.2021					
Date of Complaint	Complaint received from	Complaint received by	Name of Complainant	Nature of Complaint	Status
24/09/2021	Investor	Link Intime India Pvt. Ltd.	HARSHAD K SHAH	Non Receipt of Rep/Spl/Con/Dup	Resolved in the month of October 2021
28/09/2021	Investor	Link Intime India Pvt. Ltd.	Palimar Ratnakar Prabhu	Non Receipt of Dividend / Interest / Redemption Warrant	Resolved in the month of October 2021
10/11/2021	SEBI	Link Intime India Pvt. Ltd.	Rajesh Prabhakar Vichare	Non Receipt of Rep/Spl/Con/Dup	Resolved
01/12/2021	INVESTOR	Link Intime India Pvt. Ltd.	AMARENDRA DATTA	Non Receipt of Exchange Cert	Resolved
13/12/2021	INVESTOR	Link Intime India Pvt. Ltd.	TUSHAR B SHAH	Non Receipt of Share Certificate(s) - Transfer	* Resolved on 06.01.2022
15/12/2021	SEBI	Link Intime India Pvt. Ltd.	SRIKANT CHAUHAN	Non Receipt of Share Certificate(s) - Transfer	Resolved
27/12/2021	INVESTOR	Link Intime India Pvt. Ltd.	MR KRISHAN GOPAL DHANUKA	Non Receipt of Share Certificate(s) - Transfer	* Resolved on 06.01.2022

For Link Intime India Private Limited

  
 10/01/2022  
 Pradeep Mokale

Assistant Vice President– Corporate Registry